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Docket No.: 62225-060 (formerly 47271-072)

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of :  
Syed K. QURAISHI, et al. :  
Serial No.: 09/827,333 : Group Art Unit: 2163  
Filed: April 06, 2001 : Examiner: not assigned  
For: A RULES ENGINE HAVING USER ACTIVATED RULES OF SELECTABLE  
SCOPE AND SELECTABLE OUTCOMES

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
Washington, DC 20231

Sir:

This is in response to the Notice to File Missing Parts of Nonprovisional  
Application mailed October 1, 2001. Please amend the application as follows:

**IN THE SPECIFICATION:**

Please amend the specification as follows:

Please replace the paragraph beginning on page 1 of the specification, line 8, as  
follows:

**--Computer Program Listing Appendix**

A computer program listing Appendix is included on a CD-ROM, the contents of  
which, including several files, are hereby incorporated herein in their entirety. A  
description of the files contained on the CD-ROM is given in paper Appendix A attached  
to the specification.--

Between pages 13 of the specification and page 14 of the claims, please attach to the end of the specification, Appendix A submitted herewith.

### **REMARKS**

The statutory filing fee, additional claims fee, formal drawings, and Oath and Declaration are filed concurrently herewith.

The Notice of Missing Parts raised certain objections to the compact disk (CD-ROM) submission submitted with the application as filed.

The Office objected to the CD-ROM submission in that it contained non-ASCII files. Applicants respectfully request reconsideration of this requirement. Each of the files contained on the CD-ROM as submitted were prepared using Microsoft Word. The formatting contained within the Microsoft Word documents provides a clarity of expression that would be obscured by providing the information in ASCII form alone. Further, Microsoft Word documents can be converted to ASCII using the Microsoft Word software. This is well known in the art and the software of the Microsoft Word software is almost universal in its availability. Therefore, there is no particular purpose served by the requirement. Accordingly, Applicants respectfully request that this requirement be reconsidered and withdrawn.

Compliance with the other requirements is met by the attached substitute CD-ROM transmittal letter which contains the statements required by the Examiner.

To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this



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[illegible]

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**IN THE SPECIFICATION:**

The specification has been amended as follows:

The paragraph beginning on page 1 of the specification, line 8, has been amended as follows:

**Computer Program Listing Appendix**

A computer program listing Appendix is included on a CD-ROM, the contents of which, including several files, are hereby incorporated herein in their entirety. A description of the files contained on the CD-ROM is given in paper Appendix A attached to the specification.

By this Preliminary Amendment, Appendix A has been attached to the end of the specification, between page 13 of the specification and page 14 of the claims.